

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re Robert Romanoff

Debtor

Case No. 13-40002

Reporting Period: 01/01/2014-01/31/2014

Social Security # 5222

(last 4 digits only)

**MONTHLY OPERATING REPORT
(INDIVIDUAL WAGE EARNERS)**

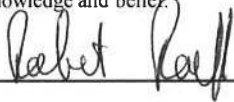
File with the Court and submit a copy to the United States Trustee within 20 days after the end of the month and submit a copy of the report to any official committee appointed in the case.

(Reports for Rochester and Buffalo Divisions of Western District of New York are due 15 days after the end of the month, as are the reports for Southern District of New York.)

REQUIRED DOCUMENTS	Form No.	Document Attached	Explanation Attached
Schedule of Cash Receipts and Disbursements	MOR-1 (INDV)	x	
Bank Reconciliation (or copies of debtor's bank reconciliations)	MOR-1 (CONT)	x	
Copies of bank statements		x	
Disbursement Journal	MOR-2 (INDV)	x	
Balance Sheet	MOR-3 (INDV)		
Copies of tax returns filed during reporting period		n/a	
Summary of Unpaid Post-petition Debts	MOR-4 (INDV)	x	
Status of Secured Notes, Leases, Installment Payments	MOR-5 (INDV)	x	
Debtor Questionnaire	MOR-6 (INDV)	y	

I declare under penalty of perjury (28 U.S.C. Section 1746) that the documents attached to this report are true and correct to the best of my knowledge and belief.

Signature of Debtor



Date

2/28/14

Signature of Joint Debtor

Date

Robert Romanoff
Debtor

Case No. 13-40002
Reporting Period: 01/01/2014-01/31/2014

INDIVIDUAL DEBTOR CASH RECEIPTS AND CASH DISBURSEMENTS

(This Form must be submitted for each bank account maintained by the Debtor)

Amounts reported should be per the debtor's books, not the bank statement. The beginning cash should be the ending cash from the prior month or, if this is the first report, the amount should be the balance on the date the petition was filed. Attach the bank statements and a detailed list of all disbursements made during the report period that includes the date, the check number, the payee, the transaction description, and the amount. A bank reconciliation must be attached for each account. [See MOR-1 (CONT)]

	Current Month Actual	Cumulative Filing to Date Actual
Cash - Beginning of Month	15,108.04	19,697.54
RECEIPTS		-
Wages (Net)		-
Interest and Dividend Income		-
Alimony and Child Support		-
Social Security and Pension Income		-
Sale of Assets		-
Other Income <i>(attach schedule)</i>	39,779.11	95,931.84
Total Receipts	39,779.11	95,931.84
DISBURSEMENTS		
ORDINARY ITEMS:		
Mortgage Payment(s)	14,847.81	14,847.81
Rental Payment(s)		-
Other Secured Note Payments		-
Utilities	927.09	1,900.48
Insurance	4,795.00	12,040.00
Auto Expense	2,712.76	3,082.76
Lease Payments		-
IRA Contributions		-
Repairs and Maintenance		441.15
Medical Expenses		215.00
Food, Clothing, Hygiene	4,360.74	15,027.79
Charitable Contributions		-
Alimony and Child Support Payments		-
Taxes - Real Estate		-
Taxes - Personal Property		150.00
Taxes - Other <i>(attach schedule)</i>		-
Travel and Entertainment	466.26	1,092.64
Gifts		-
Other <i>(attach schedule)</i>	16,671.16	40,445.22
Total Ordinary Disbursements	44,780.82	89,242.85
REORGANIZATION ITEMS:		
Professional Fees		
U. S. Trustee Fees	650	650
Other Reorganization Expenses <i>(attach schedule)</i>		
Total Reorganization Items	650	650
Total Disbursements (Ordinary + Reorganization)	45,430.82	89,892.85
Net Cash Flow (Total Receipts - Total Disbursements)	(5,651.71)	6,038.99
Cash - End of Month (Must equal reconciled bank statement)	9,456.33	25,736.53

Robert Romanoff
Debtor

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INDIVIDUAL DEBTOR CASH RECEIPTS AND CASH DISBURSEMENTS
(continuation sheet)

BREAKDOWN OF "OTHER" CATEGORY	Current Month Actual	Cumulative Filing to Date Actual
Other Income	39,779.11	92,931.84
1099 income- Absolute Electric	6,000.00	16,500.00
Rental Income	8,500.00	17,000.00
Deutsche Bank- Carlyle Group Distribution	-	28,944.64
Transfers to close out HSBC	-	5,208.09
Insurant-return of Security Deposit	25,200.00	25,200.00
misc	79.11	79.11
Other Taxes		
Other Ordinary Disbursements	16,671.16	38,000.22
storage	1,271.16	3,813.48
bank transfer	1,500.00	7,738.53
school fees	8,300.00	11,635.93
interest expense	3,820.00	12,790.00
computer checks		227.28
bank charges		15.00
childcare	1,780.00	1,780.00
Other Reorganization Expenses		

**THE FOLLOWING SECTION MUST BE COMPLETED
DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES:**

TOTAL DISBURSEMENTS	45,430.82	89,892.85
LESS: TRANSFERS TO OTHER DEBTOR IN POSSESSION ACCOUNT	1,500.00	1,500.00
PLUS: ESTATE DISBURSEMENTS MADE BY OUTSIDE SOURCES	-	-
TOTAL DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES	43,930.82	88,392.85

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BANK RECONCILIATIONS

Continuation Sheet for MOR-1

A bank reconciliation must be included for each bank account. The debtor's bank reconciliation may be substituted for this page.
(Bank account numbers may be redacted to last four numbers.)

	Operating #6082	#	Payroll	#	Tax		Other
BALANCE PER BOOKS	9,456.33				1,500.00		
BANK BALANCE	11,285.21				1,500.00		
(+) DEPOSITS IN TRANSIT (<i>ATTACH LIST</i>)	-				-		
(-) OUTSTANDING CHECKS (<i>ATTACH LIST</i>):	(1,828.88)				-		
OTHER (<i>ATTACH EXPLANATION</i>)	-				-		
ADJUSTED BANK BALANCE *	9,456.33				1,500.00		

*"Adjusted Bank Balance" must equal "Balance per Books"

DEPOSITS IN TRANSIT	Date	Amount	Date	Amount
n/a				
CHECKS OUTSTANDING	Ck. #	Amount	Ck. #	Amount
Nicholas Romanoff	1002	-400.00		
525 east 72nd st	1042	-400.00		
Nicholas Romanoff	1045	-430.23		
Nicholas Romanoff	1046	-598.65		
		-1,828.88		

OTHER

In re Robert Romanoff

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DISBURSEMENT JOURNAL
CASH DISBURSEMENTS xx6158

Date	Payee	Purpose	Amount
	NONE		
Total Cash Disbursements			-

BANK ACCOUNT DISBURSEMENTS

Date	Payee	Purpose	Amount	Check #
1/1/2014	PRUDential Life Insurance Co	Insurance	(4,795.00)	1026
1/1/2014	Dwight School	Other/school	(3,300.00)	1027
1/1/2014	Collectors Car Storage	Auto	(370.00)	1028
1/1/2014	VERIZONS 0131	Utilities	(217.02)	1029
1/1/2014	TIME WARNER CABLE	Utilities	(235.54)	1030
1/7/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(800.00)	1031
1/7/2014	Karen Romanoff	Food, Clothing, /childcare	(840.00)	1032
1/8/2014	1 East River Place Realty	Other/fee	(400.00)	1042
1/12/2014	HSBC 76 mortgage 5733592	Mortgage	(10,927.69)	ACH
1/12/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(354.64)	1033
1/12/2014	Karen Romanoff	Food, Clothing, Hygiene plus	(3,851.24)	1034
		childcare/entertainment/auto		
1/13/2014	HSBC	Mortgage	(3,920.12)	ACH
1/16/2014	Transfer Money	Transfer to DIP account	(1,500.00)	TRANSFER
1/20/2014	Karen Romanoff	Food, Clothing, Hygiene/childcare	(500.00)	1035
1/20/2014	US Trustee Payment Center	U.S. Trustee Fee	(650.00)	1036
1/23/2014	Dwight School	other/school	(5,000.00)	1037
1/23/2014	AF FUNDING LL	Other/Interest Expense	(3,820.00)	1038
1/23/2014	PUBLIC Storage	other/storage rental	(1,271.16)	1039
1/23/2014	HOLY Cross Electric	Utilities	(474.53)	1040
1/26/2014	Karen Romanoff	Food, Clothing,/childcare/taxi	(850.00)	1041
1/26/2014	Nicholas Romanoff.	Food, Clothing, Hygiene	(430.23)	1045
1/26/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(598.65)	1046
1/29/2014	Nicholas Romanoff	Food, Clothing, Hygiene	(325.00)	1058
Total Bank Account Disbursements			(45,430.82)	

Total Disbursements for the Month

(45,430.82)

/2014 Robert Romanoff

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Reporting Period: 01/01/14-01/31/14

BALANCE SHEET

The Balance Sheet is to be completed on an accrual basis only. Pre-petition liabilities must be classified separately from post-petition obligations.

ASSETS	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE ON PETITION DATE OR SCHEDULED AMOUNT
SCHEDULE A REAL PROPERTY		
Primary Residence	1,950,000.00	1,700,000.00
Other Property (attach schedule)		
TOTAL REAL PROPERTY ASSETS	1,950,000.00	1,700,000.00
SCHEDULE B PERSONAL PROPERTY		
Cash on Hand	-	-
Bank Accounts	10,956.33	30,892.00
Security Deposits	8,400.00	33,600.00
Household Goods & Furnishings	25,000.00	25,000.00
Books, Pictures, Art	730,219.00	730,219.00
Wearing Apparel	63,592.00	63,592.00
Furs and Jewelry	10,000.00	10,000.00
Firearms & Sports Equipment	1,000.00	1,000.00
Insurance Policies	11,167.00	11,167.00
Annuities		
Education IRAs		
Retirement & Profit Sharing		
Stocks		
Partnerships & Joint Ventures		
Government & Corporate Bonds		
Accounts Receivable		
Alimony, maintenance, support or property settlements		
Other Liquidated Debts		
Equitable Interests in Schedule A property		
Contingent Interests		
Other Claims * see note below	-	-
Patents & Copyrights		
Licenses & Franchises		
Customer Lists		
Autos, Trucks & Other Vehicles	235,000.00	235,000.00
Boats & Motors		
Aircraft		
Office Equipment	-	-
Machinery, supplies, equipment used for business		
Inventory		
Animals		
Crops		
Farming Equipment		
Farm Supplies		
Other Personal Property (attach schedule)	617,882.00	507,496.00
TOTAL PERSONAL PROPERTY	1,713,216.33	1,647,966.00
TOTAL ASSETS	3,663,216.33	3,347,966.00

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TOTAL POST-PETITION LIABILITIES

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SUMMARY OF UNPAID POST-PETITION DEBTS

	Number of Days Past Due					Total
	Current	0-30	31-60	61-90	Over 91	
Mortgage						0
Rent						0
Secured Debt/Adequate Protection Payments						0
Professional Fees						0
Other Post-Petition debt (<i>list creditor</i>)						
Total Post-petition Debts	0	0	0	0	0	0

Explain how and when the Debtor intends to pay any past due post-petition debts.

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**POST-PETITION STATUS OF SECURED NOTES, LEASES PAYABLE
AND ADEQUATE PROTECTION PAYMENTS**

NAME OF CREDITOR	SCHEDULED MONTHLY PAYMENT DUE	AMOUNT PAID DURING MONTH	TOTAL UNPAID POST- PETITION
no payments set up as of 01/31/147			
TOTAL PAYMENTS			

INSTALLMENT PAYMENTS

TYPE OF POLICY	CARRIER	PERIOD COVERED	PAYMENT AMOUNT & FREQUENCY
no installments set up as of 01/31/14			

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DEBTOR QUESTIONNAIRE

	Must be completed each month. If the answer to any of the questions is "Yes", provide a detailed explanation of each item. Attach additional sheets if necessary.	Yes	No
1	Have any funds been disbursed from any account other than a debtor in possession account this reporting period?		X
2	Is the Debtor delinquent in the timely filing of any post-petition tax returns?		X
3	Are property insurance, automobile insurance, or other necessary insurance coverages expired or cancelled, or has the debtor received notice of expiration or cancellation of such policies?		X
4	Is the Debtor delinquent in paying any insurance premium payment?		X
5	Have any payments been made on pre-petition liabilities this reporting period?		X
6	Are any post petition State or Federal income taxes past due?		X
7	Are any post petition real estate taxes past due?		X
8	Are any other post petition taxes past due?		X
9	Have any pre-petition taxes been paid during this reporting period?		X
10	Are any amounts owed to post petition creditors delinquent?		X
11	Have any post petition loans been received by the Debtor from any party?		X
12	Is the Debtor delinquent in paying any U.S. Trustee fees?		X
13	Is the Debtor delinquent with any court ordered payments to attorneys or other professionals?		X



JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265 - 9754

January 01, 2014 through January 31, 2014

Account Number: [REDACTED]

CUSTOMER SERVICE INFORMATION

Web site: www.Chase.com
Service Center: 1-877-425-8100
Deaf and Hard of Hearing: 1-800-242-7383
Para Espanol: 1-888-622-4273
International Calls: 1-713-262-1679



00020518 DRE 802 142 03214 NNNNYNNNNN T 1 000000000 66 0000

ROBERT S ROMANOFF DIP
DEBTOR IN POSSESSION
CASE #13-23897
302A W 12TH ST # 118
NEW YORK NY 10014-7906



00205180201042000022

We will update your Deposit Account Agreement

Effective March 23, 2014, we will be updating your agreement, including:

- How we determine the exchange rate that we use for foreign-currency transactions. See the "Transactions in a Foreign Currency" section.
- How we handle demands for payment by another Chase customer whose item you cashed or deposited with us. See "Our right to charge back deposited or cashed checks."
- That we use the description of Returned Item fee if we decide to pay an item after we initially decide to return it. See "Insufficient funds, Returned Item, and Extended Overdraft fees."
- Why we may block or delay transactions or restrict an account to protect you or us or to comply with legal requirements. See "Restricting your account; blocking or delaying transactions."

All other terms and conditions remain the same. For a copy of your agreement, log on to chase.com or visit a branch. If you have questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase Total Business Checking

	INSTANCES	AMOUNT
Beginning Balance		\$21,199.08
Deposits and Additions	3	39,779.11
Checks Paid	25	- 33,345.17
Electronic Withdrawals	3	- 16,347.81
Ending Balance	31	\$11,285.21

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/06	Book Transfer B/O: Lauren E. Levine And/OR Rye NY 10580-4112 Trn: 1046200006Es	\$8,500.00
01/09	Deposit 1285209172	28,200.00
01/27	Deposit 1296096937	3,079.11
Total Deposits and Additions		\$39,779.11



January 01, 2014 through January 31, 2014

Account Number: [REDACTED]

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
1013 ✓		01/08	\$133.78
1019 ✓		01/08	50.00
1020 ✓		01/02	1,271.16
1021 ✓		01/02	3,820.00
1022 ✓		01/03	39.12
1023 ✓		01/06	107.37
1024 ✓		01/08	120.01
1025 ✓		01/08	149.60
1026 ✓		01/06	4,795.00
1027 ✓		01/07	3,300.00
1028 ✓		01/14	370.00
1029 ✓		01/09	217.02
1030 ✓		01/07	235.54
1031 ✓		01/08	800.00
1032 ✓		01/08	840.00
1033 ✓		01/13	354.64
1034 ✓		01/13	3,851.24
1035 ✓		01/21	500.00
1036 ✓		01/28	650.00
1037 ✓		01/29	5,000.00
1038 ✓		01/27	3,820.00
1039 ✓		01/28	1,271.16
1040 ✓		01/31	474.53
1041 ✓		01/27	850.00
1058 * ✓		01/29	325.00
Total Checks Paid			\$33,345.17

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
01/13	Mortgage Svc Ctr Mortgage 2029530652 Tel ID: 9207718402	\$10,927.69 ✓
01/14	Mortgage Svc Ctr Mortgage 2029530710 Tel ID: 9207718402	3,920.12
01/16	Transfer To Chk Xxxxxx0022	1,500.00
Total Electronic Withdrawals		\$16,347.81

DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
01/02	\$16,107.92	01/07	16,130.89
01/03	16,068.80	01/08	14,037.50
01/06	19,666.43	01/09	42,020.48



January 01, 2014 through January 31, 2014

Account Number: [REDACTED]

DAILY ENDING BALANCE (continued)

DATE	AMOUNT	DATE	AMOUNT
01/13	26,886.91	01/27	19,005.90
01/14	22,596.79	01/28	17,084.74
01/16	21,096.79	01/29	11,759.74
01/21	20,596.79	01/31	11,285.21

SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	28
Deposits / Credits	3
Deposited Items	4
Transaction Total	35

SERVICE FEE CALCULATION	AMOUNT
Service Fee	\$0.00
Service Fee Credit	\$0.00
Net Service Fee	\$0.00
Excessive Transaction Fees (Above 200)	\$0.00
Total Service Fees	\$0.00





JPMorgan Chase Bank, N.A.
P O Box 659754
San Antonio, TX 78265-9754

January 16, 2014 through January 31, 2014

Account Number: [REDACTED]

00137452 1 AV 0.381



00137452 DRE 802 142 03214 NNNNYNNNNN T 1 000000000 66 0000 T1853883 P53931

ROBERT S ROMANOFF DIP
DEBTOR IN POSSESSION
CASE #13-23897
302A W 12TH ST # 118
NEW YORK NY 10014-7906

CUSTOMER SERVICE INFORMATION

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Para Espanol: 1-888-622-4273
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We will update your Deposit Account Agreement

Effective March 23, 2014, we will be updating your agreement, including:

- How we determine the exchange rate that we use for foreign-currency transactions. See the "Transactions in a Foreign Currency" section.
- How we handle demands for payment by another Chase customer whose item you cashed or deposited with us. See "Our right to charge back deposited or cashed checks."
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All other terms and conditions remain the same. For a copy of your agreement, log on to chase.com or visit a branch. If you have questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase Total Business Checking

	INSTANCES	AMOUNT
Beginning Balance		\$0.00
Deposits and Additions	1	1,500.00
Ending Balance	1	\$1,500.00

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
01/16	Transfer From Chk Xxxxxx6082	\$1,500.00
Total Deposits and Additions		\$1,500.00

DAILY ENDING BALANCE

DATE	AMOUNT
01/16	\$1,500.00



P.O. Box 1776, Baltimore MD 21203

Deutsche Bank Securities Inc.
a division of Deutsche Bank Securities Inc.
Greenwich Office
One Pickwick Plaza, 3rd Floor
Greenwich, CT 06830
(203) 869-5555, (800) 327-4626

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Account Number: [REDACTED]

Statement Period: 01/01/2014 - 01/31/2014

ROBERT ROMANOFF
302A W 12TH ST
#118
NEW YORK NY 10014-7906

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	\$551,002.00	\$551,002.00
Adjusted Previous Account Value	551,002.00	551,002.00
Dividends, Interest and Other Income	66,880.29	66,880.29
Ending Account Value	\$617,882.29	\$617,882.29
Estimated Annual Income	\$0.29	

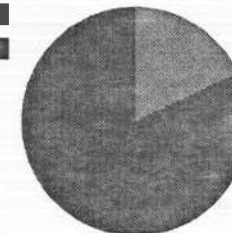
Your Client Advisor:

FINK / GERMANN
(203) 869-5555

Asset Allocation

	Prior Year-End	Last Period	This Period	% Allocation
USD Cash, Money Funds, and Bank Deposits ¹	45,861.00	45,861.00	112,741.29	18.25%
Alternative Investments	505,141.00	505,141.00	505,141.00	81.75%
Account Total (Pie Chart)	\$551,002.00	\$551,002.00	\$617,882.29	100.00%

¹ The Bank Deposits in your account are FDIC insured bank deposits.
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.
See page 2 of this statement for important information regarding the Asset Allocation section.



Pie Chart allocation only includes products that are of positive value.

